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Dept.: E/M

By: SPW/RFC/GS/mda

OSMM&N File No. 217502US2

✓ Serial No. 10/023,898

In the matter of the Application of: Yuji YOSHIMOTO, et al.

For: SUBSTRATE PROCESSING APPARATUS AND INFORMATION STORAGE
APPARATUS AND METHOD

Due Date: 06/23/2005

The following has been received in the U.S. Patent Office on the date stamped hereon:

■ Cover Letter

■ Information Disclosure Statement

■ PTO-1449

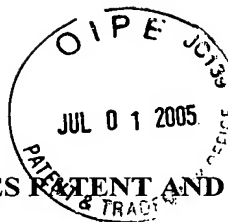
■ Cited References 7



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Docket No. 217502US2



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Yuji YOSHIMOTO, et al.

SERIAL NO: 10/023,898

GAU: 2121

FILED: December 21, 2001

EXAMINER: HARTMAN JR. R. D.

FOR: SUBSTRATE PROCESSING APPARATUS AND INFORMATION STORAGE APPARATUS AND METHOD

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to place in the U.S. PTO file the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

Respectfully submitted,

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SHEET 1 OF 1

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

SERIAL NO.

217502US2

10/023,898

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Yuji YOSHIMOTO, et al.

FILING DATE

December 21, 2001

GROUP

2121

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	11-015502	01/22/1999	Japan (with English Abstract)		x
	AP	11-074170	03/16/1999	Japan (with English Abstract)		x
	AQ	2000-343386	12/12/2000	Japan (with English Abstract)		x
	AR	11-119814	04/30/1999	Japan (with English Abstract)		x
	AS	11-184513	07/09/1999	Japan (with English Abstract)		x
	AT	11-296352	10/29/1999	Japan (with English Abstract)		x
	AU	2001-100981	04/13/2001	Japan (with English Abstract)		x
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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